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Enclosed for filing is the patent application of Inventor(s): IRENE MAUROMMATI AND DEIRDRE J.M. VAN VELZEN

For: INFORMATION PROCESSING SYSTEM

**ENCLOSED ARE:**

- ☒ Appointment of Associates;
- ☒ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
- ☒ Preliminary Amendment;
- ☒ Specification (11 Pages of Specification, Claims, & Abstract);
- ☒ Declaration and Power of Attorney: (1 Pages of a [ ] fully executed [X] unsigned Declaration);
- ☒ Drawing (3 sheets of [ ] informal [X] formal sheets);
- ☒ Certified copy of EUROPEAN application Serial No. 97203339.3
- ☒ Authorization Pursuant to 37 CFR §1.136(a)(3)
- ☒ Other: Citation of Related Cases;
- ☐ Assignment to

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$790.00
Total Claims	10 - 20 =	0	X \$22 =	0.00
Independent Claims	2 - 3 =	0	X \$82 =	0.00
Multiple Dependent Claims, if any			\$270 =	0.00
TOTAL FILING FEE . . . . .				\$790.00

Please charge Deposit Account No. 14-1270 in the amount of the total filing fee indicated above, plus any deficiencies. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.

[ ] Amend the specification by inserting before the first line as a centered heading --Cross Reference to Related Applications--; and insert below that as a new paragraph --This is a continuation-in-part of application Serial No. , filed .--, which is herein incorporated by reference--.

**CERTIFICATE OF EXPRESS MAILING**

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10/23/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

IRENE MAUROMMATI ET AL

PHN 16,576

Serial No.

Filed: Concurrently

INFORMATION PROCESSING SYSTEM

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to calculation of the filing fee and examination,  
please amend the above-identified application as follows:

IN THE ABSTRACT

Page 11, last line, delete "Figure 2".

IN THE CLAIMS

Please amend the claims as follows:

Claim 4, line 1, delete "or 3".

Claim 8, line 1, delete "or 7".

Please add claims 9 and 10 as follows:


9. An information processing system as claimed in Claim 4, wherein the information item comprises a sequence of information sub-items in a timed loop.

10. A method as claimed in Claim 7, wherein the information item comprises a plurality of information sub-items displayed in a timed loop.

REMARKS

The present amendment removes multiple dependency and improves the form of the disclosure.

Respectfully submitted,

By   
Anne E. Barschall, Reg. No. 31,089  
914-333-9624

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## Information processing system.

The invention relates to an information processing system comprising:

- a display,
- processing means, arranged for displaying in a first field on the display a first sequence of first icons in a timed loop and for repeatedly making the currently displayed first icon selectable, and
- selecting means, arranged for selecting the selectable first icon.

The invention further relates to a method for enabling a user to select an icon from a set of icons comprising a plurality of first icons, said method comprising the steps of:

- displaying the first icons in a timed loop in a first field on a display and
- repeatedly making the currently displayed first icon selectable, and
- detecting a selection of the selectable first icon.

Such an information processing system and method are known from the article "Dynamic icon presentation", IBM Technical Disclosure Bulletin, Vol. 35, No. 4B, September 1992, pages 227-232. In the known system, an icon represents a certain task or application program, e.g. a mail program, and selection of that icon results in the execution of that program. A number of icons are grouped together into a set and each icon of the set is presented in a timed sequence. The icons are shown one after the other in a single field on the display. The icon which is displayed at a certain moment can be selected and its selection causes the associated program to be executed. The known system allows a user to select an icon from among one series of icons using one field on the display.

It is an object of the invention to provide an information processing system of the kind set forth with an improved organisation of icons. This object is achieved according to the invention in an information processing system that is characterised in that the processing means are arranged for displaying, upon detection of the selection of the selectable first icon, in a second field on the display a second sequence of second icons in a timed loop and for repeatedly making the currently displayed second icon selectable, and the selecting means are arranged for selecting the selectable second icon.

By displaying a particular second sequence of icons in the second field when a particular icon of the first sequence of icons has been selected, the system according to the invention allows

for a hierarchical menu structure with icons, using only two fields on the display. A hierarchical menu is an efficient and effective mechanism for supporting the user in selecting an icon from a relatively large number of icons. The icons are organised into a number of groups according to some criterion and the user first selects a group of icons rather than directly selecting an icon from the large number of icons. The invention realises these advantages of a hierarchical menu with a limited number of fields. This leaves the rest of the space of the display available for presenting information or for other fields with selectable icons. The menu structure with fewer selectable fields is easier to use since it is less confusing and reduces the risk of errors. Furthermore, since the number of selectable fields on the display is low, the fields may be designed to be larger, thereby allowing larger icons. A larger icon may result in a better, higher quality image of the icon, which improves the identification of the icon. Furthermore, such larger icons may be used to present through the images, information to the user.

An embodiment of the information processing system according to the invention is defined in Claim 2. Since the information item is displayed in the output field on the display, while the first field with the selected icon is still visible by the user, the relation between the outputted information item and the selected first icon is directly clear to the user. This reassures the user that the information item is displayed in response to the selected first icon. This is advantageous compared to a system where an icon is selected in one screen on the display and, in response to that, a completely new screen on the display is shown with the desired information item. In the information processing system according to the invention, in addition to the second sequence of second icons, the information item is displayed, and may therefore be used to provide information about the selected first icon and/or to further explain the second sequence of second items.

An embodiment of the information processing system according to the invention is defined in Claim 3. As with the selected first icon, the simultaneous display of the selected second icon and the outputted information item directly demonstrates the relation between those two. The information item displayed in response to a selection of a second icon may constitute a piece of information desired by the user.

It is a further object of the invention to provide a method of the kind set forth with an improved organisation of icons. This object is achieved according to the invention in a method that is characterised in that the set of icons comprises a plurality of second icons and in that the method further comprises the steps of:

- displaying, upon detection of the selection of the selectable first icon, the

second icons in a timed loop in a second field on the display and repeatedly making the currently displayed second icon selectable, and

- detecting a selection of the selectable second icon.

The method according to the invention allows selection of an icon from a hierarchy of icons, using only two fields on the display. Selecting an icon from the sequence of icons displayed in the first field corresponds to choosing an icon from the first level of the hierarchy.

Subsequently selecting a second icon from the sequence displayed in the second field corresponds to choosing an icon from the particular second level of the hierarchy depending on the chosen icon on the first level. The method according to the invention is advantageous with respect to the known method where an icon would be selected through a single selection from a large unstructured set of icons. It would take a long time to present all the icons and it would be difficult to maintain an overview of the available icons. The method according to the invention is also advantageous with respect to a method that would simultaneously display all available icons in respective fields on the display. This would require a large number of fields on the display, cluttering the screen on the display and leaving only limited space for input or output of other information.

The method according to the invention can be exploited in a database management system used as an information retrieval system. Numerous applications are possible for such a system: retrieval of documents from a document management system, retrieval of travel information based on a stepwise refined specification of a destination, retrieval of departure and arrival times of trains, and many other applications.

Further advantageous embodiments of the invention are cited in the dependent claims.

The invention and its attendant advantages will be further elucidated with the aid of exemplary embodiments and the accompanying schematic drawings, wherein:

Figure 1 schematically shows the principle of displaying a sequence of icons in a field on the display,

Figure 2 shows the principle of displaying two sequences of icons in respective fields on the display according to the invention,

Figure 3 schematically shows the most important components of the information processing system according to the invention, and

Figure 4 shows a further example of the display according to the invention.

Corresponding features in the various Figures are denoted by the same reference symbols.

Figure 1 schematically shows the principle of displaying a sequence of icons in a field on the display. A sequence 102 comprises 4 icons, I1, I2, I3 and I4, which are displayed one after the other. An icon remains visible in field 104 on the display for a certain period of time, after which it is replaced by its successor in the sequence. During the period that the icon is visible, the icon is made selectable, i.e. during that period the icon may be selected by a user. The selection process can for instance be implemented by the known point and click mechanism for selecting an icon. In this mechanism, the user moves a cursor over the screen on the display to the desired icon-position by means of an input device, like a mouse or track ball or other pointing device. When the cursor is at the desired position, the user makes the selection by clicking, or in some applications double-clicking, a button of the input device. Selection of the visible icon results in the execution of the task corresponding to that icon. The sequence in which the icons appear preferably corresponds to a loop, so that after displaying icon I4, icon I1 is displayed again and made selectable. In this way, the system keeps displaying icons from sequence 102 until the user selects an icon.

Figure 2 shows the principle of displaying two sequences of icons in respective fields on the display according to the invention. A sequence 202 comprises 4 icons, which are displayed one after the other in a first field 204 on the display. As described above, the icon which becomes visible in the field 204 can be selected by the user. An icon of sequence 202 has an associated second sequence of second icons. In the figure, icon I11 has an associated second sequence 206, icon I12 has a second sequence 208, I13 has a second sequence 210, and I14 has a second sequence 212. A second sequence comprises a number of icons, which is not necessarily the same as the number of icons in sequence 202. The icons of the second sequence are displayed one after the other in a second field 214 on the display. When an icon of the second sequence is visible, it can be selected by the user.

Selection of this icon results in the execution of the task which is represented by it. This may be the execution of a program, the retrieval of an information item, or some other kind of task available in the information processing system.

Using the sequences shown in Figure 2, the following example further explains the operation of the invention. In field 204, the icons of sequence 202 are displayed one after the other. At a certain moment, icon I12 is displayed and selected by the user. Then, as a consequence of that selection, the icons of sequence 208 are displayed one after the other in field 214 on the display. Subsequently the user chooses the desired icon from sequence 208 by selecting it when it has become visible in display field 214. In the example shown, the user can select icon I123 since that icon is visible and selectable.

If selection of an icon from sequence 202 has not taken place yet, there is no specific sequence that is to be displayed in field 214. In this case, a default sequence may be displayed in that field. Alternatively, no sequence at all is displayed in field 214. When selection of an icon from sequence 202 has taken place, the associated sequence of icons is displayed in field 214. The example of Figure 2 shows a hierarchical menu structure of two levels. The first level is formed by sequence 202 and the second level is formed by the sequences 206 - 208. The invention effectuates the hierarchical menu structure with only two fields on the display. It will be clear that the two levels and two fields serve as an example only and that further levels may be included according to the principle of the invention. In that a case, a second icon of a second sequence in turn has an associated sequence of icons which upon selection, is displayed in a third field on the display.

In a preferred embodiment, selection of an icon from the lowest level, in Figure 2 an icon from one of the sequences 206 - 212, results in the display of an information item in a dedicated output field on the display. Such an information item may be a single item, like a textual message or a photographic image, or it may be a sequence of items displayed one after the other, like a number of messages, a number of still images or even a video. In this embodiment, the field 214, comprising the selected icon, and the dedicated output field, comprising the result of the selection, are shown simultaneously in the same screen on the display. This gives the user a feeling of directness since the selected icon and its result are shown in a single screen on the display without swapping between screens. This directness reassures the user that the presented information item is indeed the consequence of selection of the icon. This preferred embodiment may advantageously be used as an information retrieval system with a database including a number of information items, in which the information items are selected through the selection of icons in subsequent sequences. In such an embodiment, the number of levels will generally be larger than two. The selection of an icon in the first sequence results in a rough selection being made among the information items, and in subsequent selections of icons make the selection becomes finer and finer until the desired information item has been selected.

An information item is not only displayed in response to the selection of an icon at the lowest level, also the selection of an icon at a higher level may result in the display of an information item in the output field. The selection of such a higher-level icon has two results: the associated sequence of icons is displayed in the appropriate field on the display and an associated information item is displayed in the output field on the display. In such a case, the information item may introduce or explain the associated sequence, supporting the



user in the selection process.

Figure 3 schematically shows the most important components of the information processing system according to the invention. The information processing system 300 is built according to known architecture and can be implemented on a general purpose computer.

- 5 The information processing system has a processor 302 for carrying out instructions from an application program loaded into working memory 304. The information processing system further has an interface 306 for communication with peripheral devices. There is a bus 308 for exchange of commands and data between the various components of the system. The peripherals of the information processing system include a storage medium 310 containing
- 10 the executable programs, the sequences of icons, the set of information items, and various other data. The storage medium 310 can be embodied so as to comprise as various separate devices, which may be of different kinds of storage devices. Application of the invention is not restricted by the type of device, and storage devices which can be used include optical discs, magnetic discs, tapes, chip cards, solid state devices or some combination of these
- 15 devices. Furthermore, some of the data may be at a remote location and the information processing system may be connected to such a location by a network via connection 311. The peripherals of the information processing system further include a display 312 on which the system displays, amongst other things, fields 204 and 214 and output field 313. Furthermore the peripherals include a selection device 314 and a pointing device 316 with which the user
- 20 can move a cursor on the display. Devices 314 and 316 can be integrated into one selecting means 318, like a computer mouse with one or more selection buttons. However, other devices like a track ball, graphic tablet, joystick, or touch-sensitive display are also possible. In order to carry out the various tasks, a number of software modules are loaded into the working memory 304, one of said modules being the module constituting processing means
- 25 320 for controlling the display and selection of the sequences in the fields on the display.

- Figure 4 shows a further example of the display according to the invention. The display 400 comprises a number of fields, 402 - 408, in which sequences of icons may be displayed and where icons may be selected as described above. The fields are relatively large and may serve, in addition to allowing the user to make a selection, they can be used for
- 30 presenting information to the user. The icons may be designed in such a way that they contain information for the user. This may be in the form of images or textual messages, conveying information for the user. The display further comprises an output field 410 for displaying an information item after a selection of an icon has been made. However, in the absence of a selection an information item may also be displayed as some default item. The

information item may be related to a sequence of icons displayed in one of the fields 402 - 408. The information item may be a single item or it may comprise a sequence of sub-items, like the images in a slide show or the pages in a document.

An information processing system for presenting information to a user may be embodied so as to fulfil two completely different functions. On the one hand, the system may be of a 'broadcast' type, in which the information is presented to the user in a sequential way without interruption and without any user interaction. This is for example course material presented via a VCR (video cassette recorder); once the playback function has been activated, the information is presented without interruption and without giving the user adequate control over the presented material. In this type of system, the system is in control and the user plays a passive role. On the other hand, the system may be organised as an encyclopaedia. The user must fully specify the information item he desires and the system retrieves and presents this information item. The user must specify the next desired information item and the system then retrieves and presents this next information item. In this approach, the user is in control and has to play a very active role.

Thus, the information processing system according to the invention provides a system that may function in either one of the two ways, depending on the instantaneous user. The system may present through the display of sequences of icons in a number of respective fields and through the output field, a continuous flow of information. This information flow may be consumed by the user in a passive way. The system continues to present information also if no specific user interaction takes place. However, the user may select a particular icon, representing an item of interest, to control the further actions of the system. The result of such selection includes the display of sequences of icons associated with the particular icon in respective fields and the display of the information item associated with the particular icon in the output field. After the selection, the user may either play a passive role or may continue to select particular icons. So the information system according to the invention offers a mixture of the two completely different types described above. This is advantageous in particular for a system application that is offered to a very large number of users, whereby there is a large difference in skill between the users operating such an application. Novice users may remain passive but will still receive information, while more expert users may actively access the system with specific needs for certain information items. An example of such a system may be an Internet site where a company presents itself. The application presents the company on a broad, general level allowing the user to zoom in through some selections, while interaction between user and system is limited or absent. A user requesting

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specific information, like product information, can use the application to find this information by selecting the appropriate icons displayed by the application.

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## CLAIMS:

1. An information processing system comprising:  
a display,  
- processing means, arranged for displaying in a first field on the display a first sequence of first icons in a timed loop and repeatedly making the currently displayed first icon selectable, and  
5 icon selectable, and  
- selecting means, arranged for selecting the selectable first icon,  
characterised in that  
- the processing means are arranged for displaying, upon selection of the selectable first icon, in a second field on the display a second sequence of second icons in a  
10 timed loop and for repeatedly making the currently displayed second icon selectable, and  
- that the selecting means are arranged for selecting the selectable second icon.
2. An information processing system as claimed in Claim 1, wherein the processing means are arranged for displaying, upon selection of the selectable first icon, an  
15 information item in an output field on the display.
3. An information processing system as claimed in Claim 1, wherein the processing means are arranged for displaying, upon selection of the selectable second icon, an information item in an output field on the display.  
20
4. An information processing system as claimed in Claim 2 or 3, wherein the information item comprises a sequence of information sub-items in a timed loop.
5. A method for enabling a user to select an icon from a set of icons, comprising a plurality of first icons, said method comprising the steps of:  
25  
- displaying the first icons in a timed loop in a first field on a display and repeatedly making the currently displayed first icon selectable, and  
- detecting a selection of the selectable first icon,  
characterised in that the set of icons comprises a plurality of second icons and that the

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method further comprises the steps of:

- displaying, upon detection of the selection of the selectable first icon, the second icons in a timed loop in a second field on the display and repeatedly making the currently displayed second icon selectable, and

5 - detecting a selection of the selectable second icon.

6. A method as claimed in Claim 5, comprising the step of displaying, upon selection of the selectable first icon, an information item in an output field on the display.

10 7. A method as claimed in Claim 5, comprising the step of displaying, upon selection of the selectable second icon, an information item in an output field on the display.

8. A method as claimed in Claim 6 or 7, wherein the information item comprises a plurality of information sub-items displayed in a timed loop.

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## ABSTRACT:

In an information processing system (300), a first sequence (202) of icons are displayed one after the other in a field (204) on the display (312). The icon (204) being displayed is made selectable to the user of the system. Upon selection of a particular icon (204) of the first sequence, a second sequence (208) of icons associated with the selected icon of the first sequence are displayed one after the other in another field (214) on the display. The sequences of icons, displayed in the different fields, constitute a hierarchical two-level menu structure which can now be realised with only two fields on the display.

Figure 2

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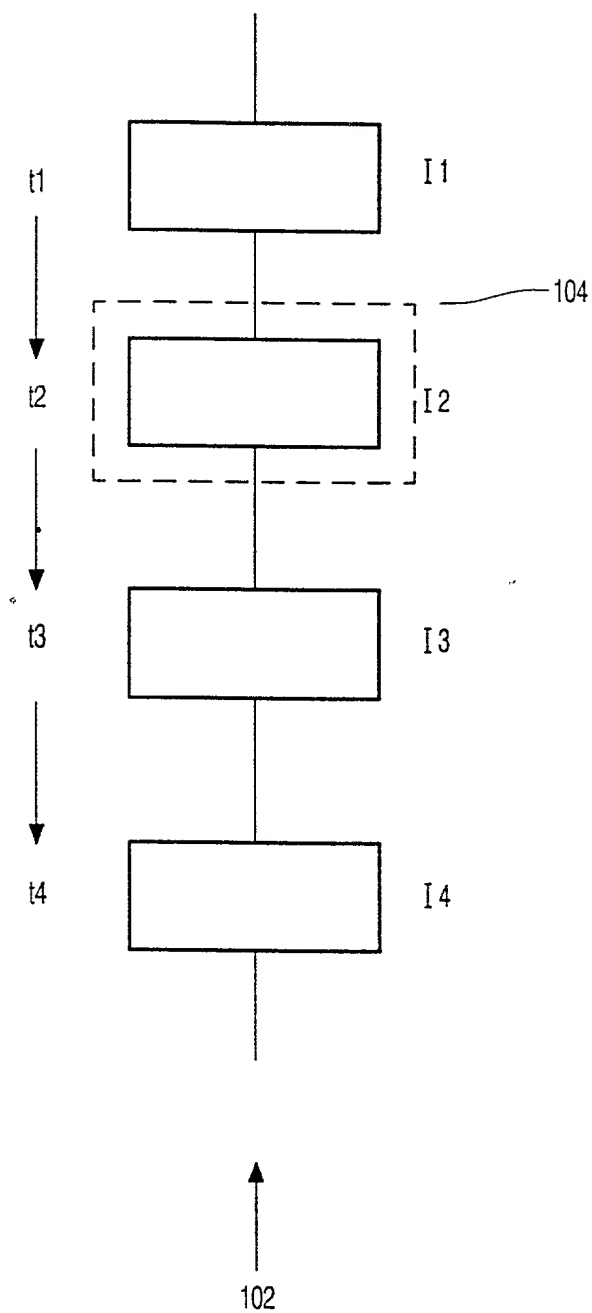


FIG. 1

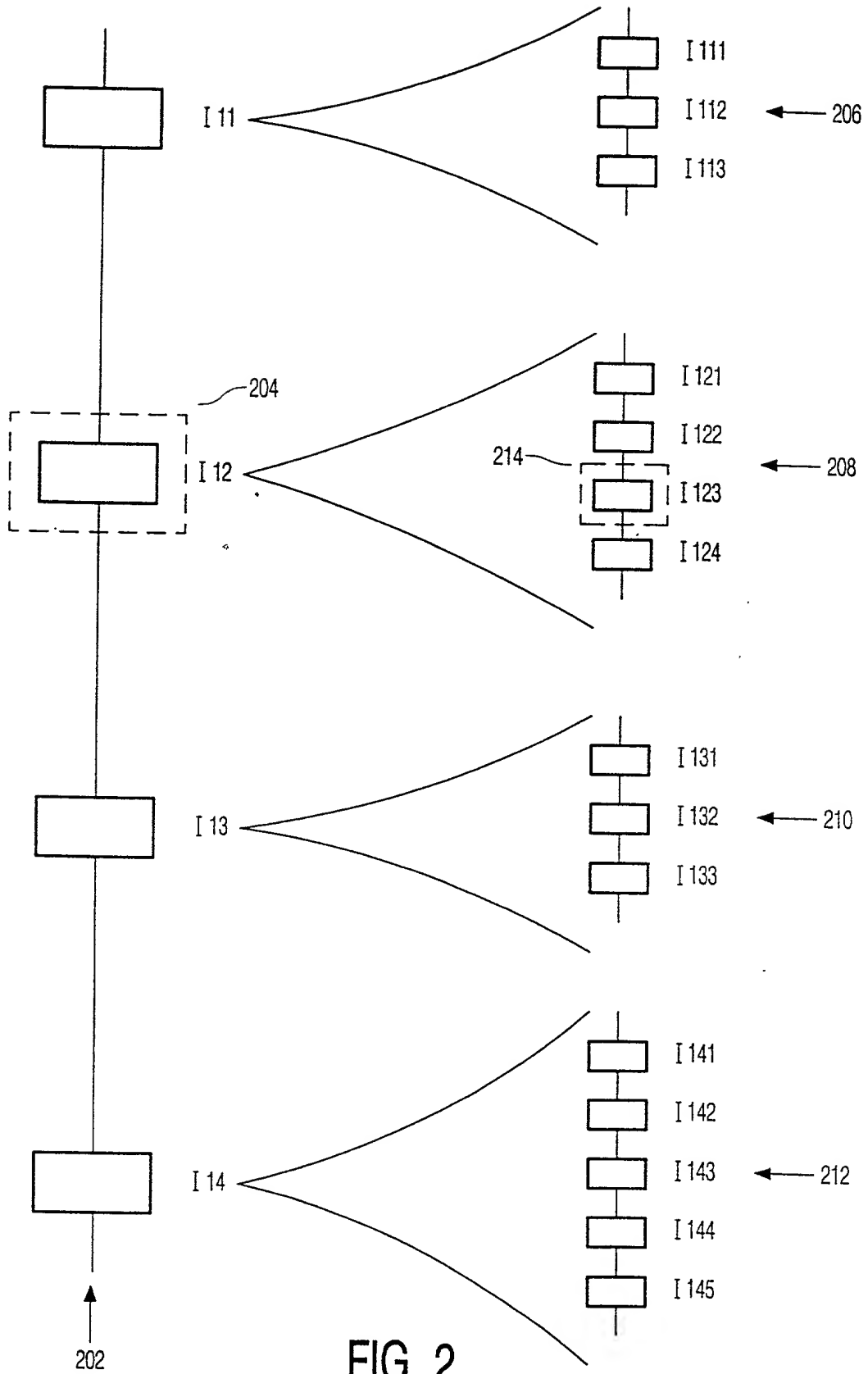


FIG. 2

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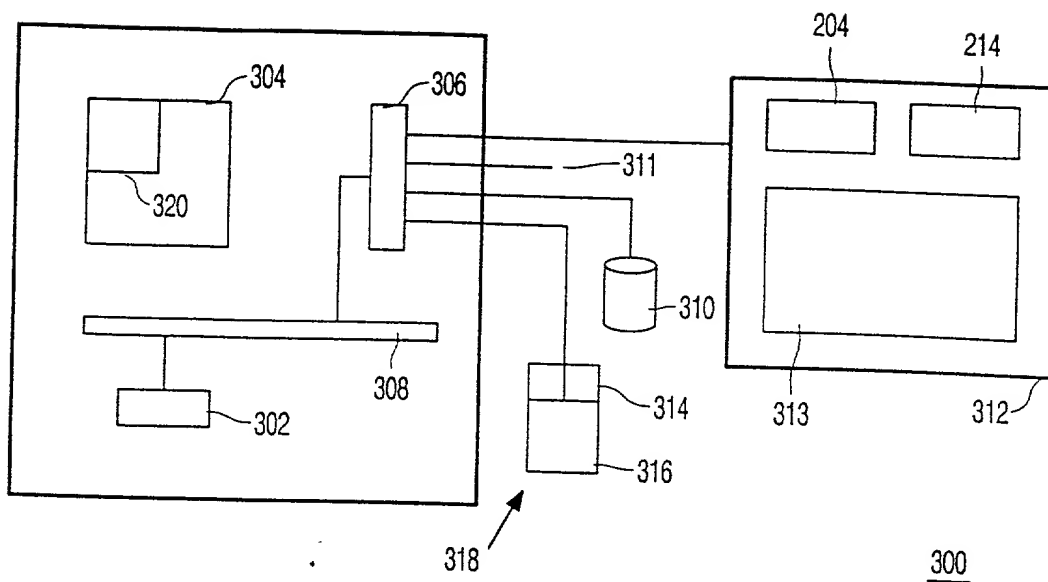


FIG. 3

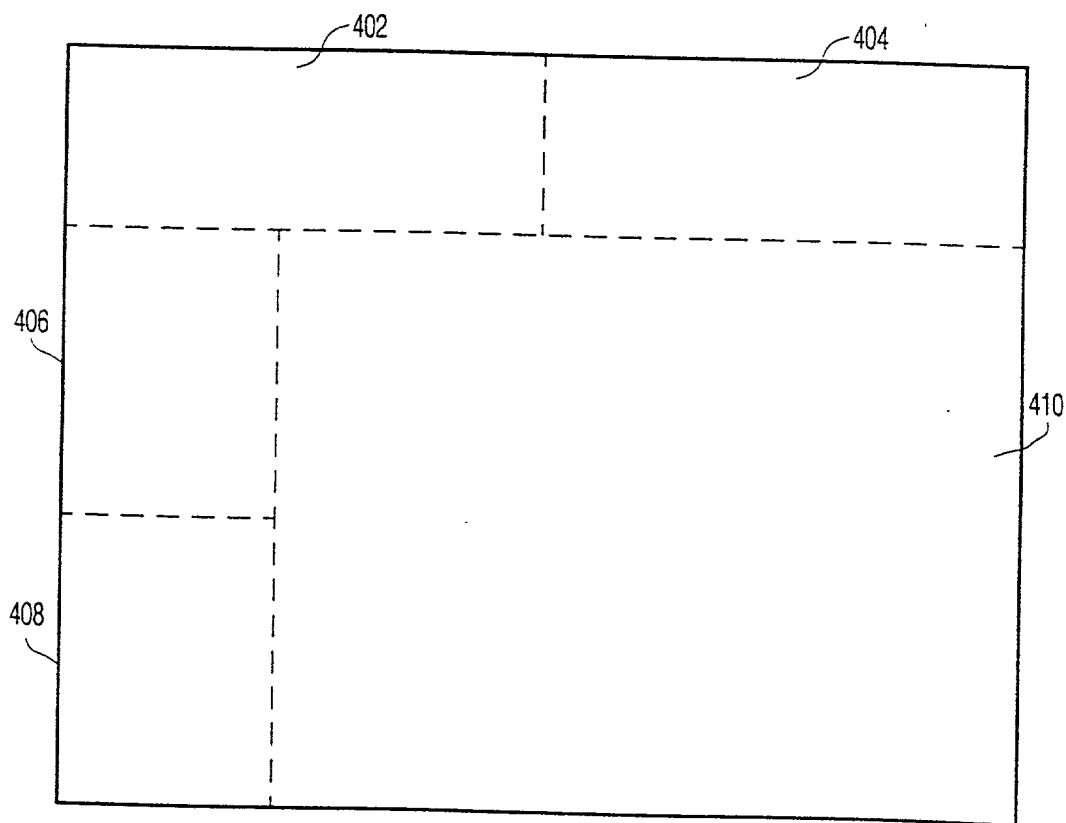


FIG. 4

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SECRET

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

IRENE MAUROMMATI ET AL

PHN 16-576

Serial No.

Group Art Unit:

Filed: CONCURRENTLY

Examiner:

INFORMATION PROCESSING SYSTEM

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

Sir:

The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

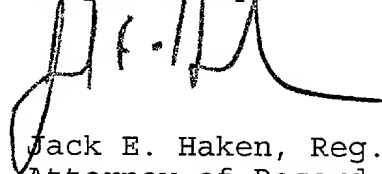
**ANNE E. BARSCHALL**

**(Registration No. 31,089)**

c/o U.S. PHILIPS CORPORATION, Intellectual Property Department, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully,



Jack E. Haken, Reg. 26,902  
Attorney of Record

Dated at Tarrytown, New York  
this 21TH day of OCTOBER, 1998.

# DECLARATION and POWER OF ATTORNEY

ATTORNEY'S DOCKET NO.:  
PHN 16.576

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"Information processing system"** the specification of which (check one)

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

COUNTRY	APP.NUMBER	DATE OF FILING (DATE,MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Europe	97203339.3	28 October 1997	YES

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Algy Tamoshunas, Reg. No. 27,677  
Jack E. Haken, Reg. No. 26,902

SEND CORRESPONDENCE TO: Corporate Patent Counsel; U.S. Philips Corporation; 580 White Plains Road; Tarrytown, NY 10591	DIRECT TELEPHONE CALLS TO: (name and telephone No.) (914) 332-0222
--	--

Dated:		Inventor's Signature:		
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Dated:		Inventor's Signature:		
Full Name of Inventor	Last Name <b>VAN VELZEN</b>	First Name <b>Deirdre</b>	Middle Name <b>J.M.</b>	
Residence & Citizenship	City <b>Eindhoven</b>	State or Foreign Country <b>The Netherlands</b>	Country of Citizenship <b>The Netherlands</b>	
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